

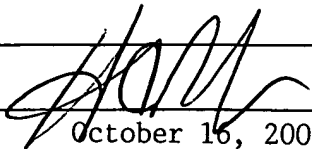
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PTO/SB/21 (08-00)
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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/892,469 |
| | Filing Date | June 28, 2001 |
| | First Named Inventor | B. Malo |
| | Group Art Unit | 2874 |
| | Examiner Name | |
| Total Number of Pages in This Submission | Attorney Docket Number | 702P03US-1 |

| ENCLOSURES (check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | RECEIVED OCT 18 2001 TECHNOLOGY CENTER 2600 |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|---|
| Firm or Individual name | Harold C. Baker |
| Signature |  |
| Date | October 16, 2001 |

| CERTIFICATE OF MAILING | | | |
|---|--|------|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/> | | | |
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#25
R. J. King
10/22/01

File No.: 702P03US-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/892,469
Filed : June 28, 2001
**Title : "IN-FIBRE CONTINUOUSLY CHIRPED GAIN
FLATTENING FILTERS AND METHOD"**
Inventor : Bernard Malo
Group Art Unit : 2874

October 16, 2001

**INFORMATION DISCLOSURE STATEMENT
Under 37 C.F.R. 1.97**

The Honourable Commissioner
of Patents & Trademarks,
WASHINGTON, D.C. 20231,
U.S.A.

Sir:

Under the provisions of 37 C.F.R. 1.97, including particularly subsections (g) and (h) thereof, and 37 C.F.R. 1.98 including specifically subsection (d) thereof, Applicant calls to the attention of the Examiner the following documents, copies of which are enclosed:

A-1 - US 5,367,588

C-1 Phase Mask Technology Overview - Impact of Phase Mask Technique in Guided Wave Device Production - S.K. Kuma et al - QPS Technology Inc.

C-2 Transmission Edge Filters for Power Equalization of EDFA's - Lawrence Chen et al - IEEE Photonics Technology Letters - Vol. 12, No. 7, July 2000 - pp 822-824

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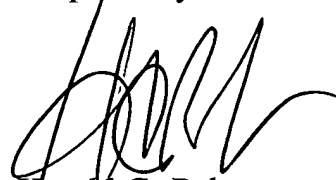
- C-3 Laser Trimming Method for Adjustment of Grating Offset in Optical Fibre Grating Couplers - Yokota et al - Dept. of Systems Engineering, Faculty of Engineering, Ibaraki University, Japan
- C-4 Bragg Gratings Fabricating in Monomode Photosensitive Optical Fibre by UV Exposure Through a Phase Mask - K.O. Hill et al - Appl. Phys. Lett. 62 (10) 8 March 1993 - pp 1035-1037

These references were brought to the patent agent's attention during the preparation of the application.

Applicant respectfully requests that these references be entered into the record of this application. The Examiner is requested to initial the appropriate area on the enclosed copy of PTO Form 1449, thereby indicating consideration by the Examiner of each of these references.

Applicant respectfully submits that the present claims are patentable over the references identified herein, and requests timely and favourable examination of this application.

Respectfully submitted



Harold C. Baker
Registration 19333

HCB/at
SHAPIRO COHEN
P.O. Box 3440
Station D
Ottawa, Ontario
Canada, K1P 6P1